

# CREST Personal Member Admission Document



Including the CREST Admission Agreement and the CREST Personal Member Terms & Conditions  
(General - Part 1)

## Important notice

You must not sign the Admission Agreement at the end of this document until you have read both the section below headed 'Your CREST membership' and the *CREST Personal Member Terms and Conditions* referred to in that section (some of which are contained in this document). They contain important provisions concerning the services we provide, your and our rights and obligations and also limitations and exclusions of liability of Euroclear UK & Ireland Limited ('Euroclear UK & Ireland'), its related companies and associates and certain other persons. You should also note that any changes to the *CREST Personal Member Terms and Conditions* will only be sent to your Sponsor (although they will bind you) unless you ask us to notify you directly. More information about this appears in clause 5.8 (and the note to that clause) on pages 5-6 of this document.

The complete *CREST Personal Member Terms and Conditions* can always be obtained free of charge from Euroclear UK & Ireland (details at the end of this document) and are available on the website [www.euroclear.co.uk](http://www.euroclear.co.uk). **The terms can appear complex and we therefore have guides designed to help you to understand these terms and the nature of our services and you are strongly recommended to read them before signing the Admission Agreement – you can obtain these from Euroclear UK & Ireland or [www.euroclear.co.uk](http://www.euroclear.co.uk).** Your Sponsor is also likely to be able to arrange for you to receive copies of the complete *CREST Personal Member Terms and Conditions* and the guides.



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# Your CREST membership

We will notify you when we have accepted (or rejected) your application. If you become a Personal Member your Sponsor will have complete control of your investments in CREST and will be able to transfer them. Your Sponsor does not represent Euroclear UK & Ireland Limited ('Euroclear UK & Ireland'). Your CREST membership will be subject to the Admission Agreement and:

(a) the *CREST Personal Member Terms and Conditions (General)*. These are in two parts. Part 1 is set out below. Part 2 can be obtained as described under 'Important Notice' on the cover of this document. Among other things, these terms permit us to rely on messages we receive (written or electronic) which appear to come from your Sponsor; provide that we only have limited responsibilities if there is an interruption to our system and services; limit the maximum amount we pay in circumstances where we have a liability to you; permit us to disclose information about you to various authorities and require you to notify us immediately of certain events, such as if someone is trying to stop you from transferring your shares. A simple explanation of the terms can be found in the *CREST Personal Member Guide (General)* which also gives further information about our services; and

(b) the CREST International Links Terms and Conditions. These apply to 'the Links Service' which we provide to enable CREST members to hold international securities and they can also be obtained as described above. Broadly speaking, international securities are securities which are not issued under UK or Irish law, which means they are usually issued by foreign companies. Your Sponsor can advise you if any securities you are proposing to hold are international securities. The Links Service works through arrangements we make with securities settlement systems like CREST in other countries. These terms apply only if you hold international securities in your CREST account or if you acquire any securities directly from or transfer any securities directly to participants in overseas securities settlement systems which are linked to CREST. You can instruct your Sponsor that you do not want to participate in the Links Service – he can then prevent this in all cases save that he may not be able to do so where international securities are added to your CREST account as a result of a corporate action such as a takeover which affects domestic (i.e. UK or Irish securities) held by you. If this happens and you do not want to continue to participate you will need to instruct your Sponsor to remove the international securities from your account.

Among other things these terms contain (i) clauses limiting and/or excluding liability for the Links Service; (ii) indemnities, warranties and undertakings from you, to ensure that you cover us against any financial and other risks which we suffer because we hold international securities on your behalf in the overseas system; (iii) rights for us (and CREST group companies) to recover from you amounts you are due to pay, this includes a power for us to sell securities we hold for you and a power to deduct money from income received for you; and (iv) provisions under which in certain limited circumstances your holding may be reduced as a result of the effect of a foreign law or other provision by which we are bound and over which we have no control. The *CREST Personal Member Guide (International)* gives further information. Your Sponsor is responsible for advising you on the implications of holding international securities.

The CREST International Links Terms and Conditions apply to your membership on and from the time that you first participate in the Links Service at which time you will be treated as accepting the terms then in force. You must therefore ensure that you have obtained a copy of the up to date terms before you participate in the Links Service.

If you intend to hold international securities in CREST it is essential that you read the *CREST Personal Member Guide (International)*.

The *CREST Personal Member Terms and Conditions* may be changed by us from time to time (see Clause 5.8 below and the note above it). Changes are only sent to your Sponsor unless you ask us to send them directly to you.

Your membership is also governed by the provisions of the CREST Manual (which amongst other things describes the services we provide) and the CREST Rules. You can view these on our website.

If you have more than one CREST membership at any time, each membership will be established under a separate Specified Membership Number; and the *CREST Personal Member Terms and Conditions* apply separately to each membership. Some parts of the Links Service are provided by other companies owned by Euroclear UK & Ireland (defined in the relevant terms and conditions as 'the Depository' and the 'Custodian').



# CREST Personal Member Terms & Conditions

(General – Part 1)

## 1. Your Sponsor; your relationship with Euroclear UK & Ireland

1.1 In the *CREST Personal Member Terms and Conditions* 'we' and 'EUI' refers to Euroclear UK & Ireland Limited and 'you' to the person(s) admitted as a CREST Personal Member.

**Note:** Other definitions and interpretation provisions are to be found in the *CREST Personal Member Terms and Conditions (General – Part 2)*.

1.2 You must at all times have a CREST sponsor who has been authorised by you to send CREST messages attributable to you and to receive CREST messages on your behalf. EUI does not accept any liability to you for any loss which you incur as a result of the acts and omissions of your Sponsor. If your Sponsor gives the CREST system an instruction, it will generally be implemented by the system regardless of any instruction you try to give us to vary or reject it.

It is important that you understand that your Sponsor is fully empowered to act on your behalf and that you will be bound by any CREST messages or other messages your Sponsor sends to us, whether or not you authorised him to do so. Your Sponsor should be regulated under the financial services legislation if he is in the UK. You can set a limit on the net value of transfers which can be made out of your membership on any one day. You can also change your Sponsor at any time. You need to complete a form to do either of these – the forms are available from EUI. The secure and timely delivery of messages to the CREST system is the responsibility of your Sponsor and/or its network provider (see clause 8 of the *CREST Personal Member Terms and Conditions (General – Part 2)*).

1.3 Your Sponsor is independent of EUI and has no authority to give any advice or waiver, or make any representation, on behalf of EUI.

1.4 When we agree to provide services to you as a CREST Member we do not assume any responsibility towards any person other than you.

1.5 The *CREST Personal Member Terms and Conditions (General – Part 2)* give us certain powers to suspend and terminate your membership, and also permit you to terminate

your membership on thirty days notice to us at any time. You may also authorise your Sponsor to give us an instruction to terminate your membership and you authorise us to accept any such instruction that we receive from your Sponsor without checking with you.

## 2. Your obligations to notify us of certain matters; warranties from you

### Changes in personal and Sponsor details

2.1 You undertake to us that:

2.1.1 you will notify us at the address below (or your Sponsor for onward transmission to us) without delay of any change in your name or address details held by us, and of any inaccuracy in the details of your shareholdings which we hold;

2.1.2 you will immediately notify us at the address below of:  
(a) any suspension, termination or withdrawal by you of your Sponsor's appointment or authority to act as your CREST sponsor; and/or (b) any proposed appointment by you of a replacement CREST sponsor by using the relevant forms which can be obtained from EUI or printed from the CREST website.

### Events which may affect your legal right to transfer securities or exercise other rights, benefits or privileges conferred by securities

2.1.3 You agree that you will only transfer by means of the CREST system securities which you are able to transfer free from any interest of any person. If you believe or become aware that any of the following could happen or has happened, you agree that you will tell us immediately by telephone or fax, or that you will tell your Sponsor immediately in a way which ensures that your Sponsor understands that the matter is urgent:

(a) that a stop notice is to be served in respect of any securities held by you through the CREST relevant system;

(b) that an application is to be made for a court order which, if granted, would in any way restrain the transfer of title to securities held by you through the CREST relevant system or the exercise of any rights, benefits or privileges

attaching to or arising from such securities;

- (c) if you receive a stop notice or court order of the kind described in (a) or (b) or become aware that any such order has been or is to be served on any other person;
- (d) that any other event is likely to occur or has occurred which could affect your right, capacity or ability to transfer title to securities held by you through the CREST relevant system or exercise any rights, benefits or privileges attaching to or arising from such securities.

You must also send us a written confirmation of this information, although we will not wait for this if we think we need to take urgent action.

#### Events which mean you have financial difficulties

2.1.4 If you believe or become aware that any of the following could happen or has happened, you agree that you will tell us immediately by telephone or fax, or that you will tell your Sponsor immediately in a way which ensures that your Sponsor understands that the matter is urgent:

- (a) the presentation of any petition, or the making of any application, to make you bankrupt;
- (b) the appointment or purported appointment of a manager, trustee or similar person in respect of yourself or any of your property;
- (c) your appearing to be unable to pay or to have no reasonable prospect of being able to pay any debt (as those expressions are defined in section 268 of the Insolvency Act 1986);
- (d) the proposal of a voluntary arrangement in respect of you under the Insolvency Act 1986;
- (e) the making of any application in respect of you for an interim order under the Insolvency Act 1986;
- (f) if you stop, suspend or threaten to stop or suspend payment of all or any part of your indebtedness. If you commence negotiations with any one or more of your creditors with a view to the general readjustment or rescheduling of all or any part of your indebtedness or the making of a general assignment for the benefit of or composition with your creditors. If a moratorium is agreed, declared or otherwise obtained in respect of, or affecting, all or any part of your indebtedness;
- (g) the occurrence under the laws of any jurisdiction other than the UK of anything analogous to or having a substantially similar effect to any of the events described in subclauses (a) to (f) inclusive in relation to you.

You must also give us a written confirmation of this information, although we will not wait for this if we think

we need to take urgent action.

You must also promptly notify us of the making of any order or appointment or the occurrence of any other relevant event, consequent upon any of the above.

2.1.5 You agree that upon becoming aware of any of the events referred to in clauses 2.1.3 and 2.1.4 you will:

- (a) not (except with our prior consent) take any steps which could result in your holding any further securities in CREST (whether by virtue of a transfer to you or otherwise);
- (b) take all steps available to you within the CREST relevant system to prevent any transfer of securities to you or by you (including any transfer to an escrow balance) except any transfer which has our prior consent;
- (c) not (except with our prior consent) take any steps which could result in any dematerialised instructions attributable to you in connection with the CREST Voting Service being sent by means of the CREST relevant system; and
- (d) take all steps available to you within the CREST relevant system to prevent any dematerialised instructions attributable to you being sent in connection with the CREST Voting Service, except any dematerialised instruction which has our prior consent.

#### Warranties

2.2 You warrant, represent and undertake to us that:

2.2.1 all the details about you contained in the Admission Agreement are and, unless we have been notified to the contrary, remain correct;

2.2.2 all details about you which you provide to us (or to your Sponsor for onward transmission to us) in the future will be correct;

2.2.3 you will notify us immediately if you become aware of any inaccuracy in any other information which you have provided, or in future provide to us (or to your Sponsor for onward transmission to us);

2.2.4 any form of transfer in respect of securities in paper form presented by or for you for transfer to a CREST member will be properly and validly executed (whether executed by you or by any other person);

2.2.5 you will not convert or seek to convert any security held by you in certificated form into uncertificated form if you are aware of any want of or defect in your title to such security; and

2.2.6 you have full power to employ the services of your Sponsor.

### **3. Disclosure of information about you**

**3.1** You agree that information held by us or within the EUI systems about you and your activities may be provided by us from time to time to (or we may permit access to the same from time to time by):

3.1.1 any UK or other regulatory or governmental or taxing authority, body or agency (whether or not we are required by law to do so);

3.1.2 any person where or to the extent that we are permitted or required to do so by law or by a court order;

3.1.3 any person where the information is or becomes generally available in the public domain other than as a result of unauthorised disclosure by us;

3.1.4 the Relevant Central Bank and the UK Debt Management Office in the manner specified in the CREST Manual;

3.1.5 any undertaking which is in relation to EUI a group undertaking (as those terms are defined in the Companies Act 1985) in the manner specified in the CREST Manual, provided that in any such case such undertaking shall have agreed in advance with EUI that it shall receive and keep such information subject to substantially the same obligations, if any, that EUI itself is subject to in respect of such information; and

3.1.6 any exchange, clearing house or operator of a Multilateral Trading Facility or an Alternative Trading System, to be used and/or disclosed for such purposes and in the manner specified in the CREST Manual;

and by accepting the *CREST Personal Member Terms and Conditions* you expressly consent to the disclosure of information in the circumstances specified above.

Please note that the CREST International Links Terms and Conditions (and the provisions of the related Deed Poll) also authorise the disclosure of information by us, the Depository and the Custodian to other persons in the context of the Links Service.

### **4. Instructions**

**4.1** You agree that we can, without making further enquiries, execute or otherwise act upon:

4.1.1 instructions or information or purported instructions or information received through the EUI systems or the Payment Network; and

4.1.2 instructions or information or purported instructions or information received in accordance with the CREST Manual or the CREST Rules otherwise than through the EUI systems or

the Payment Network;

even if afterwards it is discovered that any such instruction or information or purported instruction or information was not genuine or was not correct or was not sent with the authority of any person on whose behalf it was expressed to have been sent or was not initiated by the person entitled to give it or was not initiated through the systems, gateway, workstations and associated equipment (as the case may be) operated by such person.

**4.2** You also agree that we can, without making further enquiries, act upon any written instructions or information or purported instructions or information which relates to you and is received in accordance with the CREST Manual or the CREST Rules. This includes communications which are from or purport to be from you, your Sponsor, a CREST VSP appointed by you or any person reasonably believed by us to be a person who is legally able to act on your behalf or to be a person notified by you as having authority to act on your behalf, even if afterwards it is discovered that any such instruction or information was not genuine or was not correct or was unauthorised.

**4.3** Nothing in clauses 4.1 or 4.2 shall entitle us to execute or otherwise act upon an instruction or information or purported instruction or information if at the time we received it or at any time thereafter we had actual notice that:

4.3.1 it was not genuine or any information contained in it was incorrect; or

4.3.2 it was not sent with the authority of the person on whose behalf it was expressed to have been sent or it was not initiated by the person entitled to give it; or

4.3.3 it was not initiated through the systems, gateway, workstation and associated equipment (as the case may be) operated by the person entitled to give it

and at the time we received the actual notice it was practicable for us to halt the processing of the instruction or information or purported instruction or information.

**4.4** We shall not be liable to you for any Liabilities which you suffer or incur as a result of us having executed or otherwise acted upon any instruction or information or purported instruction or information that we were entitled to execute or act upon under clauses 4.1 or 4.2.

### **5. Our Obligations**

**5.1** We undertake to you that we will take reasonable care to cause the EUI systems and the CREST services to operate in all material respects in the manner described in the CREST Manual. We shall not be liable to you for any Liabilities which may be suffered or incurred by you as a result of the EUI systems or the CREST services or the manner in which the EUI systems or the CREST services operate at any

particular time not being adequate or sufficient for any specific purpose of you or any other person, whether or not we are aware of any such purpose.

**5.2** The undertaking in clause 5.1 is subject and without prejudice to, (a) our ability to alter the provisions of the CREST Manual and/or the CREST Rules; and (b) the limitations on the scope of our obligations to you, and the limitations on, and exclusions from, our liability to you, provided for in the CREST Personal Member Terms and Conditions.

**Note:** We have arrangements with the Bank of England to cooperate with each other to enable each of us to supply services to our respective customers as efficiently as possible. The Bank of England has no responsibility to you for anything it does under these arrangements but we accept certain responsibilities for the Bank of England – see clauses 9.6 and 18.13 of the *CREST Personal Member Terms and Conditions (General – Part 2)*.

**Note:** The CREST Manual lists certain factors which can affect the time within which we can process messages sent to the CREST system.

**5.3** We undertake that if the services provided by the EUI systems or the CREST services are interrupted (whether by us or otherwise) for any reason (including but without limitation as a result of a software error or fault) we will take reasonable care to minimise the duration of any interruption or to otherwise take the action provided for or described in the CREST Manual and (wherever practicable and appropriate) to operate the standby procedures referred to in the CREST Manual. Provided that we comply with this undertaking, we shall not be liable to you for any Liabilities which may be suffered or incurred by you as a result of any such interruption, whether caused by our negligence or otherwise howsoever, except where such interruption is caused by our fraud or wilful default.

**5.4** Any liability of us to you, whether such liability arises under any express or implied term of the *CREST Personal Member Terms and Conditions*, in tort, for misrepresentation, for breach of any other duty imposed by law in respect of the maintenance of an Operator register of securities or in any other way (and whether such liability is to make any payment of any kind or to deliver or reinstate property, or is of any other kind), shall be limited as follows:

**5.4.1** we shall not incur any liability to you save to the extent that such liability results from our negligence, wilful default or fraud;

**5.4.2** we shall not incur any liability for any indirect, special or consequential loss of any kind;

**5.4.3** if it is the case that our total liability to you and to all other claimants (if any) against us in relation to the CREST relevant system and/or the CREST services for amounts claimed

in respect of Liabilities arising out of:

(a) any single act or omission, or related acts or omissions; or if there be no such acts or omissions,

(b) any one event or series of related events; would otherwise exceed the Liability Cap then our total liability to you for such Liabilities suffered or incurred by you shall be limited to a proportion of the Liability Cap corresponding to the proportion which the amount we would otherwise be liable to pay to you or to meet a claim made by you bears to the total of the amounts that we would otherwise be liable to pay to you or to meet claims made by you and all other claimants against us in relation to the CREST relevant system and/or the CREST services (or, if there are no such other claimants), to the amount of the Liability Cap;

**5.4.4** where the Liability suffered or incurred by you relates to or arises from or in connection with any facility or service provided by the CCSS clause 5.4.3 shall not apply and our liability to you in respect of such Liability shall not exceed the relevant limitation(s) for the time being set out in the CREST Manual (normally an amount of £100 per missing deposit set of certificates).

**Note:** The effect of these provisions is explained in the *CREST Personal Member Guide (General)*. The Liability Cap is defined in Clause 18 of the *CREST Personal Member Terms and Conditions (General – Part 2)*.

**5.5** We shall on no account be liable for any Liabilities suffered or incurred by you unless written notice of the claim has been given to us by you or on your behalf on or before the date which is six months after the date on which you became aware of the specific act, fact, circumstance or event which gave rise to the claim or if earlier the date on which you ought reasonably (having regard to all the circumstances) to have become so aware.

**5.6** We reserve the right to suspend the operation of the EUI systems or CREST services in whole or in part if we, in our absolute discretion, consider it appropriate to do so by reason of any circumstances described in the CREST Manual or other circumstances beyond our reasonable control. We reserve the right to vary the daily timetable if we, in our absolute discretion, consider it appropriate to do so. Any such suspension or variation will, where reasonably practicable, be notified in advance to your Sponsor in accordance with the CREST Manual.

**Note:** The CREST Manual notes certain circumstances in which EUI may instigate, or agree to, interruptions or suspensions to its systems or services.

**5.7** We may at any time change the *CREST Personal Member Terms and Conditions* and you agree to comply with such provisions as so changed from time to time. No change will affect your ability to terminate your membership by written notice. Changes take effect in accordance with clause 14.6 of

the *CREST Personal Member Terms and Conditions (General – Part 2)*.

**Note:** Clause 5.8 below does not apply if you write to EUI at the address below asking us to arrange for changes to be sent to you directly. You can do this now or at any time after you become a CREST member, in which case you should not assume that your instruction has taken effect until you receive confirmation from us. We will always be prepared to supply you with complete and up-to-date versions of the terms and conditions and Deed Poll upon receiving a written request for the same.

**Note:** Clause 5.8 below also does not apply to you if you applied for CREST personal membership using a form of Admission Agreement and related Guide or Handbook issued by EUI (as set out on such form and/or in such Guide or Handbook) prior to 2001 and, in addition, you have not instructed EUI to send notices only to your Sponsor. If this applies to you, notices of change will continue to be sent directly to you unless and until you write to EUI with an instruction that notices should be sent to your Sponsor

**5.8** You authorise and instruct EUI and the Depository to send only to your Sponsor (and not also to you) all notices of changes to the *CREST Personal Member Terms and Conditions* and any notice about changes to the Deed Poll for the International Links Service; or any other communication which would otherwise be sent directly to you. You agree that any provision providing for service of notices on you shall be construed accordingly. You agree that we and the Depository may treat your Sponsor as authorised by you to receive these notices and communications on your behalf. You agree that such changes (which could include provisions excluding or restricting the liability of EUI, the Depository or other persons) shall take effect as if notice to your Sponsor were notice to you regardless of when or whether your Sponsor notifies you. Neither we nor the Depository will be obliged to notify you directly unless you write to us at the address below and ask us to do so in which case we will implement your instruction in respect of any changes notified after we receive it.

**Note:** The Deed Poll for the International Links Service may also be changed by the Depository in accordance with the procedures referred to therein.

**5.9** The UK Regulations require us to maintain the registers of persons who hold UK uncertificated securities. We therefore have the same obligations in relation to each register and we do not agree special or different arrangements with any Company and are not bound by any documents issued by the Company which refer to the register.

**5.10** EUI may, from time to time, enter into certain arrangements with Euroclear SA such that Euroclear SA provides certain services to EUI. You agree that Euroclear SA does not owe you any duty of care in relation to the operation of the arrangements and accordingly, you agree not to take any action against Euroclear SA (or any person for whom Euroclear SA is vicariously liable, such as employees of Euroclear SA) to recover damages, compensation or payment or remedy of any other nature in respect of any acts or omissions or events which occur while these arrangements are in place. You also agree that you have no other rights against Euroclear SA in connection with these arrangements.

**5.11** You acknowledge that systems, procedures and other facilities which comprise all or part of the EUI systems and CREST services may also from time to time be used by EUI's Associates. You agree that no such Associate owes you a duty of care or assumes any responsibility towards you in connection with any such use and accordingly you agree that you will not take any action against any such Associate (or any person for whom the Associate is vicariously liable) to recover damages, compensation or remedy of any nature in connection with any such use.

**5.12** You agree with us (and for the benefit of each Company whose register we maintain) that if you suffer or incur any Liabilities arising out of or in connection with anything we do in maintaining a Company's register, you will not take any action which could result in you (or anyone else) recovering damages or having any other rights against the relevant Company. This agreement shall not stop you from taking action against a Company if it has breached its obligations under the UK Regulations or if it instructed us to change the register or to the extent there has been negligence, wilful default or fraud on its part.

#### **Notices**

Urgent notices as required by clauses 2.1.3 and 2.1.4 should be given either:

- (i) by telephone to +44 (0)20 7849 0998 – ask for the Membership Processing Manager; or
- (ii) by fax to +44 (0)20 8418 6908; or
- (iii) by e-mail to [uk-membership@euroclear.com](mailto:uk-membership@euroclear.com).

Written notices should be sent to:

The Membership Processing Manager  
Euroclear UK & Ireland Limited  
33 Cannon Street  
London EC4M 5SB

# CREST Admission Agreement

Euroclear UK & Ireland use only

Sponsor use only

This agreement is made the

Name and User ID of Sponsor

\_\_\_\_\_

\_\_\_\_\_

between Euroclear UK & Ireland Limited ('EUI')  
and the person(s) named in box 1 below.

Membership number \_\_\_\_\_

You must read the following statements carefully and check that they are correct before you sign below. This Admission Agreement is part of the CREST Personal Member Terms and Conditions and shall be interpreted accordingly.

In signing below I/we confirm that I/we have read the Admission Document for Personal Members of which this Admission Agreement is page 7 and that:

- (a) I/we have kept a copy of the Admission Document;
- (b) I am/we are aware that my/our membership will be subject to the CREST Personal Member Terms and Conditions which contain restrictions on and exclusions of liability for the benefit of EUI and certain other persons;
- (c) I/we have had the opportunity to read and I/we accept the provisions of the CREST Personal Member Terms and Conditions and understand how to obtain copies of them; I/we also understand that as and from the time that I/we first participate in the International Links Service we will be treated as accepting the terms and conditions for that service as in force on and from that time;
- (d) I/we confirm to EUI and the Depository that my/our Sponsor is authorised to receive on my/our behalf all future communications concerning the CREST Personal Member Terms and Conditions and the Deed Poll for the International Links Service, unless and until I/we request you in writing to arrange for me/us to be contacted directly. I/we understand that changes to the CREST Personal Member Terms and Conditions and the Deed Poll will bind me/us whether or not I/we receive notice of them from my/our Sponsor;
- (e) I/we am/are at least 18 years of age.

Please complete in capitals in black ink.

**1. Sponsored Member name(s)** see Note (a)

	Title	Forename(s)	Surname
1			
2			
3			
4			

Signature

1	
2	
3	
4	

**2. Address** see Note (b)

\_\_\_\_\_  
\_\_\_\_\_

Postcode \_\_\_\_\_

Country see Note (c) \_\_\_\_\_

Notes:

- (a) The maximum number of persons who together may act as a Personal Member is four; all such joint members must sign the Agreement. Please state your title (using either Mr, Miss, Mrs, Ms, Esq or your professional title, e.g. Dr.); full forenames; and surname.
- (b) If the Personal Membership includes more than one person, only one address can be given for the purpose of this Agreement. The address must be the address where the relevant person has his habitual residence.
- (c) If the address given by you as your address is outside the United Kingdom, certain further formalities are required before you can be admitted as a Personal Member: EUI will contact you regarding these.



Issued by

**Euroclear UK & Ireland Limited**

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Incorporated in England and Wales  
Registered number 2878738

[www.euroclear.co.uk](http://www.euroclear.co.uk)

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